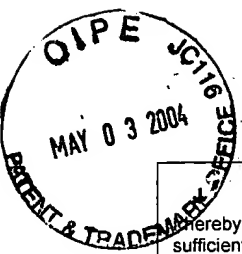


2684



**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: April 29, 2004 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Hiroji SAITO  
Tatsuji NAGAOKA  
Tomoko MATSUURA  
Mariko WAKAIZUMI

Appln. No.: 09/830,750

Filed: April 30, 2001

For: APPARATUS FOR TRANSMITTING  
PROGRAM INFORMATION,  
COMMUNICATING SYSTEM, METHOD OF  
TRANSMITTING PROGRAM INFORMATION,  
METHOD OF INSTRUCTING PROGRAM  
RECORDING OPERATION, AND METHOD  
OF INSTRUCTING PROGRAM  
PURCHASING OPERATION

Attorney Docket No: 9683/77

Examiner: To Be Assigned  
Art Unit: 2684

**RECEIVED**  
MAY 06 2004  
Technology Center 2600

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ [Supplemental Information Disclosure Statement (in dup.), Copy of Canadian Office Action, Form PTO-1449, 1 Cited Reference]
- ☒ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+\$290=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.

- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

April 29, 2004  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)



CERTIFICATE OF MAILING

Hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 29, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

*Tadashi Horie*

Signature

April 29, 2004

Date of Signature

RECEIVED

MAY 06 2004

Technology Center 2600

Our Case No.: 9683/77

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hiroji SAITO  
Tatsuji NAGAOKA  
Tomoko MATSUURA  
Mariko WAKAIZUMI

Examiner: To Be Assigned

Group Art Unit No.: 2684

Serial No.: 09/830,750

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For: APPARATUS FOR TRANSMITTING PROGRAM  
INFORMATION, COMMUNICATING SYSTEM,  
METHOD OF TRANSMITTING PROGRAM  
INFORMATION, METHOD OF INSTRUCTING  
PROGRAM RECORDING OPERATION, AND  
METHOD OF INSTRUCTING PROGRAM  
PURCHASING OPERATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

# 6/2004 whf  
5/10/04  
as.

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

Prior art reference B1 was cited in an Office Action issued in a counterpart Canadian application. A copy of the Office Action is also enclosed with this Statement. In addition to prior art B1, the Office Action cites Japanese application No. 09-102827. Applicants have already disclosed the Japanese application in the Information Disclosure Statement dated October 4, 2001.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tadashi Horie", is written over a horizontal line.

Tadashi Horie  
Registration No. 40,437  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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(312) 321-4200